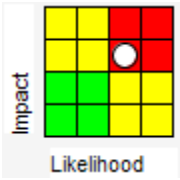
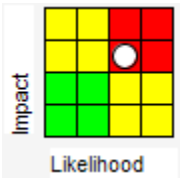
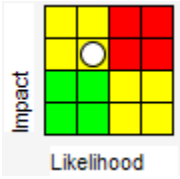
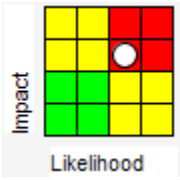
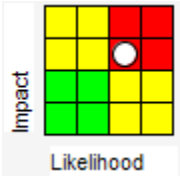
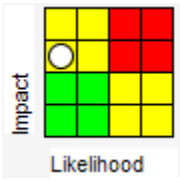
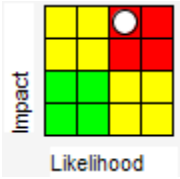
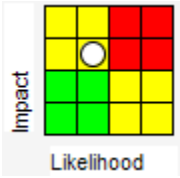
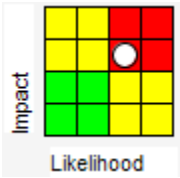
Strategic Risk Register October to December 2013

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
13-SR1	Risk of significant reduction in funding above that planned for, in particular localisation of Council Tax Support and localisation of business rates.	There is uncertainty around future funding, both from Government and other areas such as income from commodities markets for recycled materials. There are cost pressures combined with an increased awareness and scrutiny of financial position.		3	3	Adele Taylor	October to December 2013: Finance settlement announced 18th December 2013 and the financial model has been updated.
13-SR2	Risk of a loss of capacity / capability and flexibility to deliver service levels we would like.	There are challenges around workforce planning to ensure the Council is fit for the future, in terms of workforce skills, capacity and flexibility.		3	3	Adele Taylor	October to December 2013: The refreshed Medium Term Financial Plan still requires savings to be delivered within the next four years. Workforce implications need to be considered alongside annual budget proposals.
13-SR3	Risk that supplier / contractor or key third sector partner fails or fails to deliver.	A number of key external and internal services are delivered through major contracts, both directly and in consortia. This is both through private		3	2	George A Robertson	October to December 2013: The council has robust contract management processes and procedures in place to ensure any concerns are flagged up early.

		sector supply chains and in conjunction with the voluntary and third sector.					
13-SR4	Risk that investment and effort does not deliver benefits and returns in Shared Services	Moving more towards shared services with other public sector partners. Potential for lack of consistent political buy-in by all partners resulting in considerable effort without benefit. There is also a challenging skill set for managers due to the complexity.		3	3	Adele Taylor	October to December 2013: All shared service budgets are monitored as part of the standard healthcheck process and non-financial benefits through performance monitoring. At this stage no issues have arisen but we continue to work with partners to ensure joint outcomes are met.
13-SR5	There is uncertainty on overall future government policy and a number of changes required without accompanying resource.	Risk of being unable to long term strategically plan.		3	3	Simon Drinkwater	October to December 2013: Risk on target. Risks arising from changes in government policy are identified and reported to Corporate Management Team. The Council is continuing to respond to changes in the benefit system. The situation is being monitored. Resources have been identified to address the increased demands for relevant services. The Council has responded to the changes in planning resulting from

							the introduction of the new framework and other changes arising from the Localism Act. The District Plan is progressing with a report to Council in January 2014. The delay in the provision of the District Plan has increased the risks of housing development being allowed on appeal. The budget challenge process has created opportunities for additional financial savings.
13-SR6	Risk that SMG does not implement Council policies in a coherent and consistent way.	There could be a lack of consistency and cohesion at senior management levels of implementing decisions.		3	1	Simon Drinkwater	October to December 2013: Corporate Management Team meets fortnightly. Part of the role of CMT is to ensure consistency in implementing decisions. Directors discuss the implementation of decisions with Heads of Service and other managers to ensure consistency of approach. Departmental Management Team meetings convey details of decisions to relevant staff. Directors are responsible for ensuring that decisions are implemented

							correctly. The Here to Help initiative should lead to more dynamic decision making. Regular meetings of SMG which includes the Corporate Management Team deal with current topics and outstanding issues.
13-SR7	Availability and performance of IT systems and resources impacting on service delivery.	Reduced levels of service across the Authority. Targets may not be achieved. Staff morale and reputation of Council may suffer. Influence of ITSG should reduce risks		4	3	Adele Taylor	October to December 2013: ITSG is now monitoring system availability and performance which has been improving but a greater step change will come once the new ICT infrastructure is rolled out within the next few months.
13-SR8	Data Protection: Failure to comply with the data protection principles. The potential disclosure of personal data inappropriately.	Action may be taken by the ICO. Individuals may suffer if their personal data, particularly sensitive personal data is disclosed.		3	2	George A Robertson	October to December 2013: The council is undertaking a programme of policy and process review which will further strengthen this area and mitigate potential risks. Data protection risk assessments undertaken. (Part of the 2014 service planning process). Corporate issues to be considered at Operational Risk Management Group in

							January 2014.
13-SR9	Impact of welfare reform changes.	New legislation will have an adverse financial impact on a significant number of residents. Residents will require more support from services across the Council affecting staffing levels, finances, and a risk of increased aggression. There may also be difficulties in implementing Government policy.		3	3	Adele Taylor	October to December 2013: The workload in the Revenues and Benefits service continues to increase. Services across the Council including Housing and Customer Service continue to also experience an increase in demand. Services are working together to work efficiently and effectively to manage the increased workload.